## IDAPA 11 – IDAHO STATE POLICE

## Idaho State Racing Commission

## 11.04.08 – Rules Governing Pari-Mutuel Wagering

**Who does this rule apply to**?
*Racing officials/stewards, Idaho State Racing Commission, racing owners/participants/Jockeys, industry associations/stakeholders, veterinarians, and members of the general public who engage in betting/wagering.*

**What is the purpose of this rule**?
*These rules provide regulatory safeguards for the integrity of imminent horse races, the safety of horses and personnel involved in races, and the prevention of monetary fraud upon racing industry members and the public. They also create consistent enforcement during racing seasons on all those involved in those races.*

**What is the legal authority for the agency to promulgate this rule**?
*This rule implements the following statutes passed by the Idaho Legislature:*

Professions, Vocations, and Businesses -
Horse Racing:

- Section 54-2506, Idaho Code – Duties of Commission and Licensees — License Fee
- Section 54-2507, Idaho Code – Authority of commission
- Section 54-2508, Idaho Code – License – Application therefor – Type and Number of Races — Fee per day — Refund — Cancellation — Hearing — Simulcast Purse Moneys Fund
- Section 54-2509, Idaho Code – Penalty for Violations of Law — Power of Commission
- Section 54-2512, Idaho Code – Pari-Mutuel Betting — Other Betting Illegal
- Section 54-2513, Idaho Code – Horse Racing — Distributions of Deposits — Breakage
- Section 54-2514, Idaho Code – Dog Racing — Distribution of Deposits — Breakage

**Who do I contact for more information on this rule**?

Idaho State Police
Idaho State Racing Commission
Monday through Friday, 8:00 a.m. to 5:00 p.m
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Meridian, ID 83642
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**000. LEGAL AUTHORITY.**
This chapter is adopted pursuant to the legal authority of Title 54, Chapter 25, of the Idaho Code. (3-29-10)

**001. TITLE AND SCOPE.**

**01. Title**. These rules are cited as IDAPA 11.04.08, "Rules Governing Pari-Mutuel Wagering" of the Idaho State Racing Commission. (3-29-10)

**02. Scope**. These rules govern Pari-mutuel wagering in the State of Idaho. (3-29-10)

**002. -- 009. (RESERVED)**

**010. DEFINITIONS.**
In addition to the definitions in Title 54, Chapter 25, Idaho Code, the following apply: (3-20-20)

**01. Advanced Wagering**. Wagering before a scheduled post time for the first contest of a performance. (3-29-10)

**02. Breakage**. The net pool minus payout. (3-29-10)

**03. Cancelled Race**. A race not held. (3-29-10)

**04. Common Pool Wagering**. The inclusion of wagers placed at guest association locations and secondary pari-mutuel organizations into a common pari-mutuel pool for the purpose of display of wagering information and calculation of payoffs on winning wagers. (3-29-10)

**05. Contest**. A competitive racing event or competition between horses in which pari-mutuel wagering is conducted. (3-29-10)

**06. Coupled Entries**. Two (2) or more horses which are entered or run in a race and are coupled because of common ties or ownership. (3-29-10)

**07. Daily Double**. A daily double requires the selection of the first place finisher in two (2) consecutive races. (3-29-10)

**08. Dead Heat**. The finish of a race in which the noses of two (2) or more horses reach the finish line at the same time. (3-29-10)

**09. Exacta**. The Exacta requires selection of the first two (2) finishers, in their exact order, for a single contest. (3-29-10)

**10. Guest Association**. A racing association approved to offer simulcast races and pari-mutuel wagering on races conducted at other racetracks. (3-29-10)

**11. Independent Real Time Monitoring System**. A system approved by the Racing Commission for the purpose of immediate and continuous analysis of wagering and other pari-mutuel systems data in order to detect suspect wagering transactions or other activity indicating a possible problem relating to the integrity of the pari-mutuel system and which transmits transactional level data to a wagering security database. (3-29-10)

**12. Live Event Host**. A licensed racing association where live racing is conducted and on which pari-mutuel wagering is conducted by guest associations or secondary pari-mutuel organizations. (3-29-10)

**13. Minus Pool**. When the amount of money to be distributed on winning wagers is in excess of the amount of money comprising the net pool. (3-29-10)

**14. Odds**. Number indicating amount of profit per dollar to be paid to holders of winning pari-mutuel rickets. (3-29-10)

**15. Official Results**. The finish of the race as declared by the Stewards. (3-29-10)

**16. Pari-Mutuel Cash Voucher**. A document or card produced by a pari-mutuel system device on

which a stored cash value is represented and the value of which is recorded in and redeemed through the pari-mutuel system. (3-29-10)

**17.** **Pari-Mutuel Pool Host**. A racing association that operates and controls access of guest associations or secondary pari-mutuel organizations to, a pari-mutuel pool. (3-29-10)

**18.** **Pari-Mutuel System**. The hardware, software and communications equipment used to record wagers, calculate payouts for winning wagers, and transmits wagering transactions and pari-mutuel pool data for display to patrons and to communicate with other pari-mutuel systems linked to facilitate common pool wagering. (3-29-10)

**19.** **Pari-Mutuel Ticket**. A document printed or record produced by a pari-mutuel system device on which is represented a pari-mutuel wager or wagers that have been authorized and accepted for purposes of participation in a pari-mutuel pool. (3-29-10)

**20.** **Pari-Mutuel Wagering**. **A** form of wagering on the outcome of an event in which all wagers are pooled and held by an pari-mutuel pool host for distribution of the total amount, less the deductions authorized by law, to holders of tickets on the winning contestants. (3-29-10)

**21.** **Parlay**. A multi-race bet in which all winnings are subsequently wagered on each succeeding race. (3-29-10)

**22.** **Payout**. Money disbursed after a race is official. (3-29-10)

**23.** **Pick (n)**. The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. (3-29-10)

**24.** **Place Pool**. The total amount wagered on a specific entry to finish second in a race. (3-29-10)

**25.** **Quinella**. The Quinella requires selection of the first two (2) finishers, irrespective of order, for a single contest. (3-29-10)

**26.** **Quinella Double**. The Quinella Double requires selection of the first two (2) finishers, irrespective of order, in each of two (2) specified contests. (3-29-10)

**27.** **Racing Association**. Any person licensed by the Racing Commission to conduct a race meet and pari-mutuel wagering. (3-29-10)

**28.** **Scratch**. The act of withdrawing an entered horse from the race after closing of overnight entries. (3-29-10)

**29.** **Scratched Horse**. A horse that is withdrawn from a race after the betting has begun. (3-29-10)

**30.** **Secondary Pari-Mutuel Organization**. An entity other than a licensed racing association that offers and accepts pari-mutuel wagers. This may include an off-track wagering system or an account wagering system. (3-29-10)

**31.** **Show Pool**. The total amount wagered on a specific entry to finish third in a race. (3-29-10)

**32.** **Superfecta**. The Superfecta requires selection of the first four (4) finishers, in their exact order, for a single contest. (3-29-10)

**33.** **Take or Takeout**. Racing Commission money deducted from mutuel pools which is shared by the track and local and state governing bodies in the form of a tax. (3-29-10)

**34.** **Trifecta**. The Trifecta requires selection of the first three (3) finishers, in their exact order, for a single contest. (3-29-10)

**35.** **Tri-Superfecta**. The Tri-Superfecta requires selection of the first three (3) finishers, in their exact order, in the first two (2) designated contests and the first four (4) finishers, in exact order, in the second of the two (2) designated contests. (3-29-10)

**36.** **Twin Quinella**. The Twin Quinella requires selection of the first two (2) finishers, irrespective of order, in each of two (2) designated contests. (3-29-10)

**37.** **Twin Trifecta**. The Twin Trifecta requires selection of the first three (3) finishers, in their exact order, in each of two (2) designated contests. (3-29-10)

**38.** **Voucher Identification Number**. A number specifically unique to each pari-mutuel voucher. (3-29-10)

**39.** **Wager**. To risk or state an amount of money on an unknown outcome. (3-29-10)

**40.** **Win Pool**. The amount wagered on a specific entry to finish a race. (3-29-10)

**41.** **Win Three**. The Win Three (3) requires selection of a first-place finisher in each of three (3) specified contests. (3-29-10)

**42.** **Winnings**. Money won by successfully wagering on the winner in a live or simulcast race based on the official order of finish. (3-29-10)

**011. -- 099. (RESERVED)**

**100. GENERAL PROVISIONS.**

**01.** **Pari-Mutuel System**. Pari-mutuel wagering utilizes a totalisator system to pool wagers. The totalisator system may be located on property of a racing association or may, subject to compliance with applicable law and these rules, reside at another location. (3-29-10)

**02.** **Wagering Subject to Approval**. Wagering subject to approval and compliance with applicable laws and rules, may be accepted by separate totalisator systems in this or another jurisdictions, and combine via communication between totalisator systems. (3-29-10)

**03.** **Designee**. The Racing Commission may utilize a designee for the purposes of licensing, certification, verification, inspection, testing, and investigation. A Racing Commission designee may be another Racing Commission or equivalent regulatory authority, a multi-jurisdictional group of regulatory authorities, a racing association of regulatory authorities, or auditing, consulting, security, investigation, legal services, or other qualified entities or persons. (3-29-10)

**04.** **Multi-Jurisdiction Agreements**. The Racing Commission may enter into multi-jurisdiction agreements with other regulatory authorities to facilitate certification of compliance with requirements by and licensing of, totalisator companies, entities providing services for simulcasting and common pool wagering, secondary pari-mutuel organizations, and advance deposit account wagering systems. At a minimum such agreements need to ensure certification and licensing requirements comparable to this jurisdiction. (3-20-20)

**101. PARI-MUTUEL WAGERING.**
The following requirements are applicable to racing associations licensed by the Racing Commission that offers pari-mutuel wagering. These requirements are also to such organizations licensed or approved by other regulatory authority as a condition of Racing Commission approval of any agreement or contract for simulcasting or common pool wagering (3-29-10)

**01.** **Pari-Mutuel Tickets**. A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool and is evidence of the obligation to pay to the holder of such portion of the distributable amount of the pari-mutuel pool as is represented by a valid pari-mutuel ticket. The racing association must cash all valid winning tickets when

they are presented for payment during the course of the meeting where sold, and for a specified period after the last day of the meeting. (3-29-10)

**02. Valid Pari-Mutuel Ticket**. To be deemed a valid pari-mutuel ticket, the ticket must have been issued by a pari-mutuel ticket machine operated by the racing association and issued as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as follows: (3-29-10)

**a.** The name of the racing association operating the meeting; (3-29-10)

**b.** A unique identifying number or code; (3-29-10)

**c.** Identification of the terminal at which the ticket was issued; (3-29-10)

**d.** **A** designation of the performance for which the wagering transaction was issued; (3-29-10)

**e.** The contest number for which the pool is conducted; (3-29-10)

**f.** The type or types of wagers represented; (3-29-10)

**g.** The number or numbers representing the betting interests for which the wager is recorded; and, (3-29-10)

**h.** The amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence. (3-29-10)

**03. Previously Paid, Cancelled, or Non-Existent Pari-Mutuel Ticket**. No pari-mutuel ticket recorded or reported as previously paid, cancelled, or non-existent may be deemed a valid pari-mutuel ticket by the racing association. The racing association may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as in these rules. (3-29-10)

**102. PARI-MUTUEL TICKET SALES.**

**01. Ticket Sales**. Pari-mutuel tickets may not be sold by anyone other than a racing association licensed to conduct pari-mutuel wagering. (3-29-10)

**02. Wager -- Person Under Eighteen**. No person under eighteen (18) years of age is allowed to wager. (3-29-10)

**03. License -- Person Under Eighteen**. No person under eighteen (18) years of age may be granted a license to work in the pari-mutuel department. (3-29-10)

**04. Wagering by Employees of the Mutuel Department not Permitted**. Wagering by employees of the mutuel department is not permitted while on duty. Violation of this rule may result in the revocation of the offender's license. (3-29-10)

**05. Purchase of Pari-Mutuel Tickets for Hire or Gratuity**. Only persons or messengers employed by the racing associations and approved by the Racing Commission may directly or indirectly purchase pari-mutuel tickets or participate in the purchase of any or part of a pari-mutuel pool or another for hire or for any gratuity. (3-29-10)

**06. Closed Wagering**. No pari-mutuel ticket may be sold on a contest for which wagering has already been closed and no racing association will be responsible for ticket sales not recorded into or not completed by issuance of a ticket before the totalisator is closed for wagering on such contest. (3-29-10)

**07. Claims by Bettor**. Claims pertaining to a mistake on an issued ticket, or a mistake involving failure to issue a ticket, must be made by the bettor prior to leaving the seller's window except in accordance with written policies established by the racing association and approved by the Racing Commission. (3-29-10)

**08. Payment on Winning Pari-Mutuel Wagers**. Payment on winning pari-mutuel wagers is made on the basis of the order of finish as purposely posted and declared "official." Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Racing Commission will in no way affect the pari-mutuel payout. If an error in the posted order of finish or payout figures is discovered, the official order of finish or payout prices may be corrected and an announcement concerning the change must be made to the public. (3-29-10)

**09. Cancellation or Exchange Tickets**. Cancellation or exchange of tickets issued is not permitted after a patron has left a seller's window, except in accordance with written policies established by the racing association and approved by the Racing Commission. (3-29-10)

**10. Claims on Lost, Mutilated, or Altered Tickets**. The racing association may satisfy claims on lost, mutilated, or altered pari-mutuel tickets without authorization of the Racing Commission. (3-29-10)

**11. Equipment Failure**. The racing association has no obligation to enter a wager into a betting pool if unable to do so due to equipment failure. (3-29-10)

**103. ADVANCE WAGERING.**
No racing association may permit wagering to begin more than one (1) hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the Racing Commission. This does not preclude earlier common pool wagers in accordance with a contract with the host association that has been approved by the Racing Commission. (3-29-10)

**104. CLAIMS FOR PAYMENT FROM PARI-MUTUEL POOL.**
At a designated location, a written, verified claim for payment from a pari-mutuel pool must be accepted by the racing association in any case wherein the racing association has withheld payment or has refused to cash a pari-mutuel wager. The claim must be made on such form as approved by the Racing Commission with the original claim forwarded to the Racing Commission within 48 hours. (3-20-20)

**01. Claim for Mutilated Ticket**. In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements outlined in these rules, the racing association will make a recommendation to accompany the claim forwarded to the Racing Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket. (3-20-20)

**02. Racing Commission to Adjudicate or Deny Claim**. In the case of a claim made for payment on a pari-mutuel wager, the Racing Commission will adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the racing association, or may deny the claim, or may make such other order, as it may deem proper as provided for in Section 113 of these rules. (3-20-20)

**105. PAYMENT FOR ERRORS.**
If an error occurs in the payment amounts for pari-mutuel wagers that are cashed or entitled to be cashed and, as a result of such error, the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following applies: (3-29-10)

**01. Underpayments**. Verification is required to show that the amount of the commission, the amount in breakage, and the amount in payouts is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payout, the underpayment belongs to the Racing Commission. In the event there is an underpayment on any race in the amount actually due to the wagerers, the amount of such underpayments to wagerers, at the end of each day of racing, will revert to and be paid to the Racing Commission and may not be retained by the racing association. (3-29-10)

**02. Underpayment Claim**. Any claim not filed with the racing association within thirty (30) days, inclusive of the date on which the underpayment was publicly announced, is deemed waived; and the racing association has no further liability. (3-29-10)

**03. Overpayment**. In the event the error results in an overpayment to winning wagers, the racing

association is responsible for such payment. (3-29-10)

**106. BETTING EXPLANATION.**
A summary explanation of pari-mutuel wagering and each type of betting pool offered must be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on the racing association grounds and available upon request through racing association representatives. (3-29-10)

**107. DISPLAY OF BETTING INFORMATION.**

**01. Approximate Odds for Win Pool**. Approximate odds for Win pool betting must be posted on display devices within view of the wagering public and updated at intervals of not more than sixty (60) seconds for the current race of the performance. (3-29-10)

**02. Probable Payout**. The probable payout or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Racing Commission. (3-29-10)

**03. Official Results and Payouts**. Official results and payouts must be displayed upon each contest being declared official. (3-29-10)

**04. Errors Corrected Promptly**. If an error is made in posting the payoff figures on the public board, it will be corrected promptly and only the correct amounts will be used in the payoff, irrespective of the error. If because of mechanical failure it is impossible to promptly correct the posted payoff, a statement must be made over the public address system stating the facts and corrections. (3-29-10)

**108. CANCELLED CONTESTS.**
If a contest is cancelled or declared "no contest," refunds must be granted on valid wagers in accordance with these rules. (3-29-10)

**01. Refunds**. Notwithstanding other provisions of these rules, refunds of the entire pool must be made on: (3-29-10)

**a.** Win pools, Exacta pools, and first-half Double pools offered in contests in which the number of betting interests has been reduced to fewer than two (2). (3-29-10)

**b.** Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools, and first-half Tri-Superfecta pools offered in contest in which the number of betting interests has been reduced to fewer than three (3). (3-29-10)

**c.** Show pools, Superfecta pools, and first-half Twin Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than four (4). (3-29-10)

**02. Authorized Refund to be Paid**. Authorized refunds must be paid upon presentation and surrender of the affected pari-mutuel ticket. (3-29-10)

**03. Scratched Horse**. If a horse is scratched from racing after the betting has begun, the money bet on that horse must be refunded; except that when the horse is part of an Entry or the Field there will be no refund if the Entry or the Field, as the case may be, has at least one (1) actual starter. (3-29-10)

**04. Horse Prevented from Racing Because of Starting Gate Failure**. If it is determined by the Stewards that a horse has been prevented from racing because of the failure of the stall door of the starting gate to open, the money bet on that horse must be refunded; except that when the horse is part of an Entry or the Field there will be no refund if the Entry or the Field, as the case may be, has at least one (1) actual starter. (3-29-10)

**05. Coupled Entries and Mutuel Fields**. If no horse finished in a race, all money wagered on that race must be refunded. (3-29-10)

**109. COUPLED ENTRIES AND MUTUEL FIELDS.**

**01. Coupled Entry Considered Single Betting Interest**. Contestants coupled in wagering as a coupled entry or mutuel field are considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in a coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestant in that coupled entry or mutuel field may remain valid betting interests and no refunds will be granted; or the stewards may order a refund for the entire betting interest. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests must be refunded, notwithstanding other provisions of these rules. (3-29-10)

**02. Dead Heat Involving Coupled Entry**. For the purpose of price calculations only, coupled entries and mutuel fields are calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule applies to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules. (3-29-10)

**110. POOLS DEPENDANT UPON BETTING INTERESTS.**

**01. Offer Wagering Pools**. Unless otherwise provided by the Racing Commission, upon request received no later than twenty-four (24) hours after the post position draw, at the time the pools are opened for wagering, the racing association: (3-29-10)

**a.** Must offer: (3-20-20)

i. Win wagering on all contests with three (3) or more betting interests. May offer win wagering on all contests with two (2) or more betting interests. (3-29-10)

ii. Place wagering on all contests with four (4) or more betting interests. If the number of starting betting interests drops below four (4), the racing association may at its discretion cancel place wagering. The racing association must make an appropriate public address announcement. (3-29-10)

iii. Show wagering on all contests with five (5) or more betting interests. If the number of starting betting interests drops below five (5), the racing association may at its discretion cancel show wagering. The racing association must make an appropriate public address announcement. (3-29-10)

**b.** May offer: (3-20-20)

i. Quinella wagering on all contests with three (3) or more betting interests. (3-29-10)

ii. Quinella double wagering on all contests with three (3) or more betting interests. (3-29-10)

iii. Exacta wagering on all contests with two (2) or more betting interests. (3-29-10)

iv. Trifecta wagering on all contests with three (3) or more betting interests. (3-29-10)

v. Superfecta wagering on all contests with four (4) or more betting interests. (3-29-10)

vi. Twin quinella wagering on all contests with three (3) or more betting interests. (3-29-10)

**c.** May not offer twin trifecta, tri-superfecta or twin trifecta wagering on any contests with six (6) or less betting interests. (3-29-10)

**111. PRIOR APPROVAL FOR BETTING POOLS.**

**01. Prior Approval for Betting Pools**. A racing association that desires to offer new forms of wagering must apply in writing to the Racing Commission and receive written approval prior to implementing the new betting pool. (3-20-20)

**02. Suspend Previously Approved Forms of Wagering**. The racing association may suspend previously-approved forms of wagering with the prior approval of the Racing Commission. Any carryover must be held until the suspended form of wagering is reinstated. A racing association may request approval of a form of wagering or separate wagering pool for specific performances. (3-29-10)

**112. CLOSING OF WAGERING IN A CONTEST.**

**01. Close Wagering**. A Racing Commission representative must close wagering for each contest after which time no pari-mutuel tickets may be sold for that contest. (3-29-10)

**02. Approved Close Wagering System**. The racing association must maintain, in good order, a system approved by the Racing Commission for closing wagering. (3-29-10)

**113. COMPLAINTS PERTAINING TO PARI-MUTUEL OPERATIONS.**

**01. Compliance Report**. When a patron makes a complaint regarding the pari-mutuel department to a racing association, the racing association must immediately issue a compliance report, setting out: (3-29-10)

**a.** The name of the complainant; (3-29-10)

**b.** The nature of the complaint; (3-29-10)

**c.** The name of the persons, if any, against whom the complaint was made; (3-29-10)

**d.** The date of the complaint; and (3-29-10)

**e.** The action taken or proposed to be taken, if any, by the racing association. (3-29-10)

**02. Submit Complaint to Racing Commission**. The racing association must submit every complaint report to the Racing Commission within forty-eight (48) hours after the complaint was made. The Racing Commission will review the complaint and a decision must be issued within seven (7) working days. (3-29-10)

**114. LICENSEES -- DUTY TO REPORT.**
All licensees must report any known irregularities or wrong doings by any person involving pari-mutuel wagering immediately to the Racing Commission and cooperate in subsequent investigations. (3-29-10)

**115. EMERGENCY SITUATIONS.**
In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the racing association must report the problem to the stewards and the racing association and the stewards render a full report to the Racing Commission within forty-eight (48) hours. (3-29-10)

**116. UNRESTRICTED ACCESS.**
The racing association must permit the Racing Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the racing association that relate to pari-mutuel wagering. (3-29-10)

**117. PARI-MUTUEL CASH VOUCHERS.**

**01. Cash Vouchers**. Pari-mutuel cash vouchers may be offered by a racing association that issues pari-mutuel tickets. These vouchers must be dispensed through the totalisator system. The stored value on a voucher may be redeemed in the same manner as a value of a winning pari-mutuel ticket for wagers placed at a pari-mutuel window or a self-service terminal, and may be redeemed for their cash value at any time. (3-29-10)

**02. Vouchers as Incentives or Promotional Prizes**. A racing association may, with the prior approval of the Racing Commission, issue special pari-mutuel cash vouchers as incentives or promotional prizes, and may restrict the use of those vouchers to the purchase of pari-mutuel wagers. (3-29-10)

**03. Voucher Identification Number**. The tote system transaction record for all pari-mutuel vouchers must include the voucher identification number in subsequent pari-mutuel transactions and pari-mutuel wagers made from a voucher must identify the voucher by identification number. (3-29-10)

**118. OTHER STORED VALUE INSTRUMENTS AND SYSTEMS.**

**01. Stored Value Instrument or System**. A racing association may not utilize any form of stored value instrument or system other than a pari-mutuel voucher for purpose of making or cashing pari-mutuel wagers without the prior approval of the Racing Commission,. (3-29-10)

**02. Request for Approval**. Any request for approval of a stored value instrument or system must include a detailed description of the standards utilized: (3-29-10)

**a.** To identify the specific stored value instrument or account in the pari-mutuel system wagering transaction record; (3-29-10)

**b.** To verify the identity and business address of the person(s) obtaining, holding, and using the stored value instrument or system; (3-29-10)

**c.** To record and maintain records of deposits, credits, debits, transaction numbers, and account balances involving the stored value instruments or accounts. (3-29-10)

**03. Prevent Wagering Transactions**. A stored value instrument or system must prevent wagering transactions in the event such transactions would create a negative balance in an account, and may not operate so as to automatically facilitate a transfer of funds into a stored value instrument or account without the direct authorization of each such deposit transfer by the person holding the instrument or account. (3-29-10)

**04. Affirmation**. Any request for approval of a stored value instrument or system must include an affirmation of the ready availability when requested by the Racing Commission. All records and reports relating to all transactions, account records, and customer identification and verification in hard copy or standard electronic format approved by the Racing Commission certification of secure retention of all records for a period of not less than three (3) years or such longer period specified by the Racing Commission. (3-29-10)

**119. -- 199. (RESERVED)**

**200. CALCULATION OF PAYOFFS AND DISTRIBUTION OF POOLS.**

**01. Pari-Mutuel Wagering Pools Separately and Independently Calculated and Distributed**. All permitted pari-mutuel wagering pools must be separately and independently calculated and distributed. Takeout will be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool constitutes the net pool for distribution as payoff on winning wagers. (3-20-20)

**02. Standard or Net Price Calculation**. Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools. (3-29-10)

**03. Profit per Dollar**. For each wagering pool, the amount wagered on the winning betting interest or betting combinations is deducted from the net pool to determine the profit; the profit is then divided by the amount wagered on the winning betting interest or combinations, such quotient being the profit per dollar. (3-29-10)

**04. Single Commission Pools**. With written approval from the Racing Commission, either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools. (3-29-10)

**a.** Standard Price Calculation Procedure.

| Table - Single Price Pool (Win Pool) | | |
|---|---|---|
| gross pool | = | sum of wagers on all betting interest-refunds |
| takeout | = | gross pool x percent takeout |
| net pool | = | gross pool - takeout |
| profit | = | net pool - gross amount bet on winner |
| profit per dollar | = | profit / gross amount bet on winner |
| $1 unbroken price | = | profit per dollar + $1 |
| $1 broken price | = | $1 unbroken price rounded down to the break point |
| total payout | = | $1 broken price x gross amount bet on winner |
| total breakage | = | net pool - total payout |

(3-29-10)

i. Profit Split (Place Pool). Profit is net pool less gross amount bet on all place finishers. Finishers split profit one-half (1/2) and one-half (1/2) (place profit), then divide by gross amount bet on each place finisher for two (2) unique prices. (3-29-10)

ii. Profit Split (Show Pool). Profit is net pool less gross amount bet on all show finishers. Finishers split profit one-third (1/3) and one-third (1/3) and one-third (1/3) (show profit), then divide by gross amount bet on each show finisher for three (3) unique prices. (3-29-10)

**b.** Net Price Calculation Procedure.

| Table - Single Price Pool (Win Pool) | | |
|---|---|---|
| gross pool | = | sum of wagers on all betting interest-refunds |
| takeout | = | gross pool x percent takeout |
| # for each source: | = | gross pool - takeout |
| net pool | = | gross pool - takeout |
| net bet on winner | = | gross amount bet on winner x (1 - percent takeout) |
| total net pool | = | sum of all sources net pools |
| total net bet on winner | = | sum of all sources net bet on winner |
| total profit | = | total net pool - total net bet on winner |
| profit per dollar | = | total profit / total net bet on winner |
| $1 unbroken base price | = | profit per dollar + $1 for each source: |
| $1 unbroken price | = | $1 unbroken base price x (1 - percent takeout) |
| $1 broken price | = | $1 unbroken price rounded down to the break point |
| total payout | = | $1 broken price x gross amount bet on winner |
| total breakage | = | net pool - total payout |

| Table - Single Price Pool (Win Pool) | | |
|---|---|---|
| net pool | = | gross pool - takeout |

(3-29-10)

i. Profit Split (Place Pool). Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit one-half (1/2) and one-half (1/2) (place profit), then divide by total net amount bet on each place finisher for two (2) unique unbroken base prices. (3-29-10)

ii. Profit Split (Show Pool). Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit one-third (1/3) and one-third (1/3) and one-third (1/3) (show profit), then divide by total net amount bet on each show finisher for three (3) unique unbroken base prices. (3-29-10)

**c.** If a profit split results in only one (1) covered winning betting interest or combinations it is calculated the same as a single price pool. (3-29-10)

**d.** Minimum payout and the method used for calculating breakage are established by the Racing Commission. (3-29-10)

**e.** The individual pools outlined in these rules may be given alternative names by each racing association, provided prior approval is obtained from the Racing Commission. (3-29-10)

**f.** In the event a minus pool occurs in either the Win, Place or Show pool, the expense of said minus pool will be born by the racing association and the State will receive intact its share of the remaining pools.(3-29-10)

**201. WIN POOLS.**

**01. Win Pools**. The amount wagered on the betting interest that finishes first is deducted from the net pool, the balance remaining being the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest. (3-29-10)

**02. Net Win Pool**. The net Win pool must be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** To those whose selection finished first; but if there are no such wagers, then; (3-29-10)

**b.** To those whose selection finished second; but if there are no such wagers, then; (3-29-10)

**c.** To those whose selection finished third; but if there are no such wagers, then; (3-29-10)

**d.** The entire pool must be refunded on Win wagers for that contest. (3-29-10)

**03. Dead Heat for First**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Win pool is distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Win pool is distributed as a profit split.

| Table 1 -- Win Pool (Standard Price Calculation) | | |
|---|---|---|
| Sum of Wagers on All Betting Interest = | | $194,230.00 |
| Refunds | = | $1,317.00 |

| Table 1 -- Win Pool (Standard Price Calculation) | | |
|---|---|---|
| Gross Pool: | | |
| Sum of Wagers on All Betting Interest - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Gross Amount Bet on Winner | = | $23,872.00 |
| Profit: | | |
| Net Pool - Gross Amount Bet on Winner | = | $134,316.66 |
| Profit Per Dollar: | | |
| Profit / Gross Amount Bet on Winner | = | $5.6265357 |
| $1 Unbroken Price: | | |
| Profit Per Dollar + $1 | = | $6.6265357 |

(3-29-10)

**202. PLACE POOLS.**

**01. Place Pools**. The amounts wagered to Place on the first two (2) betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two (2) equal portions, one (1) being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest. (3-29-10)

**02. Net Place Pool**. The net Place pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** If contestants of a coupled entry or mutuel field finished in the first two (2) places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise (3-29-10)

**b.** As a profit split to those whose selection is included within the first two (2) finishers; but if there are no such wagers on one (1) of those two (2) finishers, then; (3-29-10)

**c.** As a single price pool to those who selected the one (1) covered betting interest included within the first two (2) finishers; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those who selected the third-place finisher; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Place wagers for that contest. (3-29-10)

**03. Dead Heat for First**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Place pool must be distributed as a single price pool. (3-29-10)

**b.** Contestants representing two (2) or more betting interest, the Place pool must be distributed as a

profit split. (3-29-10)

**04.** **Dead Heat for Second**. If there is a dead heat for second involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Place pool is distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Place pool is divided with one-half (1/2) of the profit distributed to Place wagers on the betting interest finishing first and the remainder is distributed equally among Place wagers on those betting interests involved in the dead heat for second.

| **Table 2 -- Place Pool (Standard Price Calculation)** | | |
|---|---|---|
| Sum of Wagers on All Betting Interests | = | $194,230.00 |
| Refunds | = | $1,317.00 |
| Gross Pool: | | |
| Sum of Wagers on All Betting Interests - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Gross Amount Bet on 1st place finisher | = | $23,872.00 |
| Gross Amount Bet on 2nd place finisher | = | $12,500.00 |
| Profit: | | |
| Net Pool-Gross Amount Bet on 1st place finisher - Gross Amount Bet on 2nd place finisher | = | $121,816.66 |
| Place Profit: | | |
| Profit / 2 | = | $60,908.33 |
| Profit Per Dollar for 1st place: | | |
| Place Profit / Gross Amount Bet on 1st place finisher | = | $2.5514548 |
| $1 Unbroken Price for 1st place: | | |
| Profit Per Dollar for 1st place + $1 | = | $3.5514548 |
| Profit Per Dollar for 2nd place: | | |
| Place Profit / Gross Amount Bet on 2nd place finisher | = | $4.8726664 |
| $1 Unbroken Price for 2nd place: | | |
| Profit Per Dollar for 2nd place + $1 | | $5.8726664 |

(3-29-10)

**203. SHOW POOLS.**

**01.** **Show Pools**. The amounts wagered to Show on the first three (3) betting interests to finish are

deducted from the net pool, the balance remaining being the profit; the profit is divided into three (3) equal portions, one (1) being assigned to each winning betting interest and divided by the amount wagered to Show on that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest. (3-29-10)

**02.** **Net Show Pool Distribution**. The net Show pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** If contestants of a coupled entry or mutuel field finished in the first three (3) places, as a single price pool to those who selected the couple entry or mutuel field, otherwise; (3-29-10)

**b.** If contestants of a coupled entry or mutuel field finished as two (2) of the first three (3) finishers, the profit is divided with two-thirds (2/3) distributed to those who selected the coupled entry or mutuel field and one-third (1/3) distributed to those who selected the other betting interest included within the first three (3) finishers, otherwise; (3-29-10)

**c.** As a profit split to those whose selection is included within the first three (3) finishers; but if there are no such wagers on one (1) of those three (3) finishers, then; (3-29-10)

**d.** As a profit split to those who selected one (1) of the two (2) covered betting interests included within the first three (3) finishers; but if there are no such wagers on two (2) of those three (3) finishers, then: (3-29-10)

**e.** As a single price pool to those who selected the one (1) covered betting interest included within the first three (3) finishers; but if there are no such wagers, then; (3-29-10)

**f.** As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then; (3-29-10)

**g.** The entire pool must be refunded on Show wagers for that contest. (3-29-10)

**03.** **Dead Heat for First**. If there is a dead heat for first involving: (3-29-10)

**a.** Two (2) contestants representing the same betting interest, the profit is divided with two-thirds (2/3) distributed to those who selected the first-place finishers and one-third (1/3) distributed to those who selected the betting interest finishing third. (3-29-10)

**b.** Three (3) contestants representing a single betting interest, the Show pool must be distributed as a single price pool. (3-29-10)

**c.** Contestants representing two (2) or more betting interests, the Show pool must be distributed as a profit split. (3-29-10)

**04.** **Dead Heat for Second**. If there is a dead heat for second involving: (3-29-10)

**a.** Contestants representing the same betting interest, the profit is divided with one-third (1/3) distributed to those who selected the betting interest finishing first and two-thirds (2/3) distributed to those who selected the second-place finishers. (3-29-10)

**b.** Contestants representing two (2) betting interests, the Show pool must be distributed as a profit split. (3-29-10)

**c.** Contestants representing three (3) betting interests, the Show pool is divided with one-third (1/3) of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second. (3-29-10)

**05.** **Dead Heat for Third**. If there is a dead heat for third involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Show pool must be distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Show pool is divided with two-thirds (2/3) of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally among Show wagers on those betting interests involved in the dead heat for third.

| **Table 3 -- Show Pool (Standard Price Calculation)** | | |
|---|---|---|
| Sum of Wagers on All Betting Interests | = | $194,230.00 |
| Refunds | | $1,317.00 |
| Gross Pool: | | |
| Sum of Wagers on All Betting Interests - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Gross Amount Bet on 1st place finisher | = | $23,872.00 |
| Gross Amount Bet on 2nd place finisher | = | $12,500.00 |
| Gross Amount Bet on 3rd place finisher | = | $4,408.00 |
| Profit: | | |
| Net Pool - Gross Amount Bet on 1st place finisher - Gross Amount Bet on 2nd place finisher - Gross Amount Bet on 3rd place finisher | = | $117,408.66 |
| Show Profit: | | |
| Profit / 3 | = | $39,136.22 |
| Profit Per Dollar for 1st place: | | |
| Show Profit / Gross Amount Bet on 1st place finisher | = | $1.6394194 |
| $1 Unbroken Price for 1st place: | | |
| Profit Per Dollar for 1st place + $1 | = | $2.6394194 |
| Profit Per Dollar for 2nd place: | | |
| Show Profit / Gross Amount Bet on 2nd place finisher | = | $3.1308976 |
| $1 Unbroken Price for 2nd place: | | |
| Profit Per Dollar for 2nd place + $1 | = | $4.1308976 |
| Profit Per Dollar for 3rd place: | | |
| Show Profit / Gross Amount Bet on 3rd place finisher | = | $8.8784528 |
| $1 Unbroken Price for 3rd place: | | |
| Profit Per Dollar for 3rd place + $1 | = | $9.8784528 |

| **Table 4 -- Show Pool<br>Single Takeout Rate & Single Betting Source** | | |
|---|---|---|
| (Net Price Calculation) | | |
| Sum of Wagers on All Betting Interests | = | $194,230.00 |
| Refunds | = | $1,317.00 |
| Gross Pool: | | |
| Sum of Wagers on All Betting Interests - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Total Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Gross Amount Bet on 1st place finisher | = | $23,872.00 |
| Net Amount Bet on 1st place finisher | = | $19,575.04 |
| Gross Amount Bet on 2nd place finisher | = | $12,500.00 |
| Net Amount Bet on 2nd place finisher | = | $10,250.00 |
| Gross Amount Bet on 3rd place finisher | = | $4,408.00 |
| Net Amount bet on 3rd place finisher | = | $3,614.56 |
| Total Net Bet on Winners: | | |
| Net Amount Bet on 1st place finisher + Net Amount Bet on 2nd place finisher + Net Amount Bet on 3rd place finisher | = | $33,439.60 |
| Total Profit: | | |
| Total Net Pool - Total Net Bet on Winners | = | $124,749.06 |
| Show Profit: | | |
| Total Profit / 3 | = | $41,583.02 |
| Profit Per Dollar for 1st place: | | |
| Show Profit / Net Amount Bet on 1st place finisher | = | $2.1242879 |
| $1 Unbroken Base Price for 1st place: | | |
| Profit Per Dollar for 1st place + $1 | = | $3.1242879 |
| $1 Unbroken Price for 1st place: | | |
| $1 Unbroken Base Price for 1st place x (1 - percent takeout) | = | $2.5619161 |
| Profit Per Dollar for 2nd place: Show Profit / Net Amount Bet on 2nd place finisher | = | $4.0568800 |
| $1 Unbroken Base Price for 2nd place: | | |
| Profit Per Dollar for 2nd place + $1 | = | $5.0568800 |
| $1 Unbroken Price for 2nd place: | | |
| $1 Unbroken Base Price for 2nd place x (1 - percent takeout) | = | $4.1466416 |

| Table 4 -- Show Pool<br>Single Takeout Rate & Single Betting Source | | |
|---|---|---|
| Profit Per Dollar for 3rd place: | | |
| Show Profit / Net Amount Bet on 3rd place finisher | = | $11.504310 |
| $1 Unbroken Base Price for 3rd place: | | |
| Profit Per Dollar for 3rd place + $1 | = | $12.504310 |
| $1 Unbroken Price for 3rd place: | | |
| $1 Unbroken Base Price for 3rd place x (1 - percent takeout) | = | $10.253534 |

(3-29-10)

**204. DOUBLE POOLS.**

**01. Double Pools**. Only one (1) Daily Double will be permitted during a single racing day, unless approval is obtained from the Racing Commission. (3-29-10)

**02. First Place Finisher**. The Double requires selection of the first-place finisher in each of two (2) specified contests. (3-29-10)

**03. Winning Distribution**. The net Double pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a single price pool to those whose selection finished first in each of the two (2) contests; but if there are no such wagers, then; (3-29-10)

**b.** As a profit split to those who selected the first-place finisher in either contest; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those who selected the one (1) covered first-place finisher in either contest; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those whose selection finished second in each of the two (2) contests; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Double wagers for those contests. (3-29-10)

**04. Dead Heat for First**. If there is a dead heat for first in either of the two (2) contests involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Double pool is distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Double pool is distributed as a profit split if there is more than one (1) covered winning combination. (3-29-10)

**05. Scratched Interest -- First-Half**. Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest is deducted from the Double pool and refunded. (3-29-10)

**06. Scratched Interest -- Second-Half**. Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest is deducted from the Double pool and refunded. (3-29-10)

**07. Consolation Payout**. Should a betting interest in the second-half of the Double be scratched after

the close of wagering on the first Double contest, all wagers combining the winner of the first contest with the scratched betting interest in the second contest are allocated a consolation payout. In calculating the consolation payout the net Double pool is divided by the total amount wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payout. Breakage is not declared in this calculation. The consolation payout is deducted from the net Double pool before calculation and distribution of the winning Double payout. Dead heats including separate betting interests in the first contest will result in a consolation payout calculated as a profit split. (3-29-10)

**08. Cancelled or "No Contest."** If either of the Double contests are cancelled prior to the first Double contest, or the first Double contest is declared "no contest," the entire Double pool must be refunded on Double wagers for those contests. (3-29-10)

**09. Second Double Cancelled or "No Contest."** If the second Double contest is cancelled or declared "no contest" after the conclusion of the first Double contest, the net Double pool is distributed as a single price pool to wagers selecting the winner of the first Double contest. In the event of a dead heat involving separate betting interests, the net Double pool is distributed as a profit split. (3-29-10)

**10. Payoff Posting**. Before the running of the last half of the Daily Double pool, the payoff of each combination coupled with the winner of the first half of the Daily Double must be posted in a prominent place. (3-29-10)

**11. Third Heat Announcement**. In case of a dead heat for winner in the first half (1/2) of the Daily Double, the payoff of the Daily Double need not be posted until after the running of the second half (1/2) of the Daily Double. However, announcement of this fact must be made over the loud speaker and notice to this effect be posted on the board at conclusion of the first half (1/2) of the Daily Double. (3-29-10)

**12. Close of Sale**. Sale of Daily Double tickets must close not later than "off-time" of the first race of the Daily Double. (3-29-10)

**13. Daily Double Not a Parlay**. The Daily Double Pool is not a parlay and is not connected with the WIN, PLACE, SHOW or other pools in any manner whatsoever.

| **Table 5 -- Double Pool (Standard Price Calculation)** | | |
|---|---|---|
| Sum of Wagers on All Betting Interests | = | $194,230.00 |
| Refunds | = | $1,317.00 |
| Gross Pool: | | |
| Sum of Wagers on All Betting Interests - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Gross Amount Bet on Winning Combination | = | $23,872.00 |
| Profit: | | |
| Net Pool - Gross Amount Bet on Winning Combination | = | $134,316.66 |
| Profit Per Dollar: | | |
| Profit / Gross Amount Bet on Winning Combination | = | $5.6265357 |

| Table 5 -- Double Pool (Standard Price Calculation) | | |
|---|---|---|
| $1 Unbroken Price: | | |
| Profit Per Dollar + $1 | = | $6.6265357 |

| Table 6 -- Double Pool Consolation Pricing: | | |
|---|---|---|
| Sum of Wagers on All Betting Interests | = | $194,230.00 |
| Refunds | = | $1,317.00 |
| Gross Pool: | | |
| Sum of Wagers on All Betting Interests - Refunds | = | $192,913.00 |
| Percent Takeout | = | 18% |
| Takeout: | | |
| Gross Pool x Percent Takeout | = | $34,724.34 |
| Net Pool: | | |
| Gross Pool - Takeout | = | $158,188.66 |
| Consolation Pool: | | |
| Sum Total Amount Bet on winner of the first contest with all second contest betting interests | = | $43,321.00 |
| $1 Consolation Unbroken Consolation Price: | | |
| Net Pool / Consolation Pool | = | $3.6515468 |
| $1 Consolation Broken Price: | = | $3.65 |
| Amount Bet on winner of the first contest with scratched betting interests: | = | $1,234.00 |
| Consolation Liability: | | |
| $1 Consolation Broken Price x (Amount Bet on the winner of the first contest with scratched betting interests) | = | $4,504.10 |
| Adjusted Net Pool: | | |
| Net Pool - Consolation Liability | = | $153,684.56 |
| Gross Amount Bet on the Winning Combination | = | $23,872.00 |
| Profit: | | |
| Adjusted Net Pool - Gross Amount Bet on the Winning Combination | = | $129,812.56 |
| Profit Per Dollar: | | |
| Profit / Gross Amount Bet on the Winning Combination | = | $5.4378586 |
| Unbroken Price: | | |
| Profit Per Dollar + $1 | = | $6.4378586 |

(3-29-10)

**205. WIN THREE POOLS.**

**01. Win Three Pools**. The Win Three (3) requires selection of the first-place finisher in each of three (3) specified contests. (3-29-10)

**02. Distribution**. The net Win Three (3) pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a single price pool to those whose selection finished first in each of the three (3) contests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those who selected the first-place finisher in any two (2) of the three (3) contests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those who selected the first-place finisher in any one (1) of the three (3) contests; but if there are no such wagers, then; (3-29-10)

**d.** The entire pool must be refunded on Win Three (3) wagers for those contests. (3-29-10)

**03. Dead Heat**. If there is a dead heat for first in any of the three (3) contests involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Win Three (3) pool is distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Win Three (3) pool is distributed as a single price pool and is distributed as follows: (3-29-10)

**i.** As a profit split to those whose selections finished first in each of the three (3) contests; but if there are no such wagers, then; (3-29-10)

ii. As a single price pools to those who selected the first place finisher in any two (2) of the three (3) contests; but if there are no such wagers, then; (3-29-10)

iii. As a single price pool to those who selected the first place finisher in any one (1) of the three (3) contests; but if there are no such wagers, then; (3-29-10)

iv. The entire Win Three pool is refunded. (3-29-10)

**04. Substitution of a Scratch**. Should a betting interest be scratched from a leg of the Win Three (3) all bets with the scratched betting interest will be handled as follows: (3-29-10)

**a.** If the scratch (that herein after includes being declared a non-starter or a non-betting starter) was made prior to the start of the first leg, all bets containing such scratched betting interest must be refunded to determine the gross pool an removed from further consideration in the pool; (3-29-10)

**b.** If the scratch was made in the second leg after the start of the first leg, a consolation payoff will be computed for those bets combining the winners of the first and third legs with the scratched betting interest as follows: (3-29-10)

i. The statutory take-out is deducted from the gross pool and then the amount represented by the bets on combinations involving betting interests scratched from the third leg (reduced by the take-out thereon). (3-29-10)

ii. The resulting remainder is divided by the amounts bet on the combination of such first and third leg winners with all betting interests (less breaks) to determine the consolation price per dollar payable to those bets combining winners of the first and third legs with the betting interest scratched in the second leg. The break may not be deduced from the pool. (3-29-10)

**c.** If a betting interest is scratched in the third leg after the start of the first leg, a consolation payoff must be computed as for those bets combining the winners of the first and second legs with such scratched betting interest as follows: (3-29-10)

i. The statutory take-out is deducted from the gross pool and then the amount represented by bets on combinations involving betting interests scratched from the second leg (reduced by the rate of the take-out thereon). (3-29-10)

ii. The resulting remainder is divided by the amount bet on the combination of such first and second leg winners with all betting interests in the third leg (less breaks) to determine the consolation price per dollar payable to those bets combining winners of the first and second legs with a betting interest scratched in the third leg. The breaks must not be deducted from the pool. (3-29-10)

**d.** If betting interests are scratched in both the second and third legs after the start of the first leg, a consolation payoff is computed for those bets combining the winner of the first leg with the betting interests scratched in both the second and third legs as follows: (3-29-10)

i. The takeout is deducted from the gross pool and the remainder is divided by the amount bet on the winner of the first leg combined with all other betting interests (less breaks) to determine the consolation price per dollar payable to those tickets combining the winner of the first leg with the scratch betting interests from both the second and third legs. (3-29-10)

**05**. **All Three Cancelled**. If all three (3) Win Three (3) contests are cancelled or declared "no contest," the entire pool must be refunded on Win Three (3) wagers for those contests. (3-29-10)

**06**. **One or Two Canceled**. If one (1) or two (2) of the Win Three (3) contests are cancelled or declared "no contest," the Win Three (3) pool will remain valid and must be distributed in accordance with these rules. (3-29-10)

**206. PICK (N) POOLS.**

**01. Pick (n) Pools**. The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The racing association must obtain written approval from the Racing Commission concerning the scheduling of Pick (n) contests, the designation of one (1) of the methods prescribed in these rules, and the amount of any cap to be set on the carryover. Any changes to the approved Pick (n) format require prior approval from the Racing Commission. (3-29-10)

**02. Apportioning the Pool**. The Pick (n) pool is apportioned under one (1) of the following methods: (3-29-10)

**a.** Method 1- Pick (n) with Carryover: The net Pick (n) pool and carryover, if any, must be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool will be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder will be added to the carryover. (3-29-10)

**b.** Method 2 - Pick (n) with Minor Pool and Carryover: The major share of the net Pick (n) pool and the carryover, if any, must be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool is distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first- place finisher of all Pick (n) contests, the minor share of the net Pick (n) pool will be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major will be added to the carryover. (3-29-10)

**c.** Method 3 - Pick (n) with No Minor Pool and No Carryover: The net Pick (n) pool must be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n)

contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded. (3-29-10)

**d.** Method 4 - Pick (n) with Minor Pool and No Carryover: The major share of the net Pick (n) pool must be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool is distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool is combined with the major share for distribution as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If the greatest number of first-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded. (3-29-10)

**e.** Method 5 - Pick (n) with Minor Pool and No Carryover: The major share of net Pick (n) pool must be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool is distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool is distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool is combined with the major share for distribution as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests. If there are no winning wagers, the pool is refunded. (3-29-10)

**f.** Method 6 - Pick (n) with Minor Pool, Jackpot Pool, Major Carryover and Jackpot Carryover: Predetermined percentages of the net Pick (n) pool must be set aside as a Major pool, Minor pool and Jackpot pool. The Major share of the net Pick (n) pool and the Major carryover, if any, is distributed to those who selected the first-place finisher of each of the Pick (n) contests, based on the official order of finish. If there are no tickets selecting the first-place finisher in each of the Pick (n) contests, the Major net pool is added to the Major carryover. If there is only one (1) single ticket selecting the first-place finisher of each of the Pick (n) contests, based on the official order of finish, the Jackpot share of the net Pick (n) pool and the Jackpot carryover, if any, is distributed to the holder of that single ticket, along with the Major net pool and the Major carryover, if any. If more than one (1) ticket selects the first-place finisher of each of the Pick (n) contests the Jackpot net pool is added to the Jackpot carryover. The Minor share of the net Pick (n) pool is distributed to those who selected the first-place finisher of the second greatest number of Pick (n) contests, based on the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the Minor net pool of the Pick (n) pool is distributed as a single price pool to those who selected the first-place finisher of the greatest number of Pick (n) contests. (3-29-10)

**03. Dead Heat**. If there is a dead heat for first in any of the Pick (n) contests involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Pick (n) pool must be distributed as if no dead heat occurred. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Pick (n) pool must be distributed as a single price pool with each winning wager receiving an equal share of the profit. (3-29-10)

**04. Scratched Entry**. Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at host association for the contest at the close of wagering on that contest, will be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two (2) or more favorites is identical, the substitute selection will be the betting interest with the lowest program number. The totalisator must produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination. (3-29-10)

**05. Cancellation and Refunds**. The Pick (n) pool will be cancelled and all Pick (n) wagers for the individual performance will be refunded, if: (3-29-10)

**a.** At least two (2) contests included as part of a Pick Three (3) are cancelled or declared “no contest”; (3-29-10)

**b.** At least three (3) contests included as part of a Pick Four (4), Pick Five (5) or Pick Six (6) are cancelled or declared "no contest"; (3-29-10)

**c.** At least four (4) contests included as part of a Pick Seven (7), Pick Eight (8) or Pick Nine (9) are cancelled or declared "no contest"; or (3-29-10)

**d.** At least five (5) contests included as part of a Pick Ten (10) are cancelled or declared "no contest." (3-29-10)

**06. Net Pool Distribution**. If at least one (1) contest included as part of a Pick (n) is cancelled or declared "no contest," but not more than the number specified in these rules the net pool must be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution must include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances. (3-29-10)

**07. Course Condition**. If the condition of the course warrants a change of racing surface in any of the legs of the Pick (n) races, and such change was not known to the public prior to the closing of wagering for the Pick (n) pool, the stewards must declare the changed leg(s) a "no contest" for Pick (n) wagering purposes only. A "no contest" race is not to be considered as a contested race. (3-29-10)

**08. Capped Carryover**. The Pick (n) carryover may be capped at a designed level approved by the Racing Commission so that if, at the close of any performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, one hundred (100%) percent of the net pool, part of which ordinarily would be added to the Pick (n) carryover, must be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance. (3-29-10)

**09. Carryover Requested**. A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Racing Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (3-29-10)

**10. Single Price Distribution**. Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool must be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover must be designated for distribution on a specified date and performance only under the following circumstances: (3-29-10)

**a.** Upon written approval from the Racing Commission as provided in these rules. (3-29-10)

**b.** Upon written approval from the Racing Commission when there is a change in the carryover cap, a change from one (1) type of Pick (n) wagering to another or when the Pick (n) is discontinued. (3-29-10)

**c.** On the closing performance of the meet or split meet. (3-29-10)

**11. Carryover Deposit**. If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of a subsequent meet, the carryover must be deposited in an interest-bearing account approved by the Racing Commission. The Pick (n) carryover plus accrued interest must then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Racing Commission. (3-29-10)

**12. Contribution to Pool**. With the written approval of the Racing Commission, the racing association may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap. (3-29-10)

**13. Prohibited Information**. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited until the race is made official. This does not prohibit necessary communication between totalisator and

pari-mutuel department employees for processing of pool data. (3-29-10)

**14. Suspension of Wagering**. The racing association may suspend previously-approved Pick (n) wagering with the prior approval of the Racing Commission. Any carryover must be held until the suspended Pick (n) wagering is reinstated. A racing association may request approval of a Pick (n) wager or separate wagering pool for specific performances.

**TABLE 7 -- PICK 7 POOL**
**MULTIPLE TAKEOUT RATES AND MULTIPLE BETTING SOURCES**

| | Percent Takeout | Gross Pool Bet On | Gross Amount - Win | Net Pool Bet On | Net Amount - Win |
|---|---|---|---|---|---|
| Source 1: | 16% | $190,000 | $44 | $159,600 | $36.96 |
| Source 2: | 18.5% | $10,000 | $18 | $8,150 | $14.67 |
| Source 3: | 21% | $525,730 | $124 | $415,326.70 | $97.96 |
| TOTALS: | | $725,730 | $186 | $583,076.70 | $149.59 |

| (Net Price Calculation) | | |
|---|---|---|
| Total Profit: | | |
| Total Net Pool - Total Net Bet on the Winning Combination | = | $582,927.11 |
| Profit Per Dollar: | | |
| Total Profit / Total Net Bet on the Winning Combination | = | $3,896.8321 |
| $1 Unbroken Base Price: | | |
| Profit Per Dollar + $1 | = | $3,897.8321 |
| $1 Unbroken Price for Source 1: | | |
| $1 Unbroken Base Price x (1 - Percent Takeout) | = | $3,274.1789 |
| $1 Unbroken Price for Source 2: | | |
| $1 Unbroken Base Price x (1 - Percent Takeout) | = | $3,176.7331 |
| $1 Unbroken Price for Source 3: | | |
| $1 Unbroken Base Price x (1 - Percent Takeout) | = | $3,079.2873 |

(3-29-10)

## 207. QUINELLA POOLS.

**01. Quinella Pools**. The Quinella requires selection of the first two (2) finishers, irrespective of order, for a single contest. (3-29-10)

**02. Distribution**. The net Quinella pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** If contestants of a coupled entry or mutuel field finish as the first two (2) finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the

official order of finish, otherwise; (3-29-10)

**b.** As a single price pool to those whose combination finished as the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one (1) of those two (2) finishers, then; (3-29-10)

**d.** As a single price pool to those whose combination included the one (1) covered betting interest included within the first two (2) finishers; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Quinella wagers for that contest. (3-29-10)

**03. Dead Heat -- First Place**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Quinella pool is distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish. (3-29-10)

**b.** Contestants representing two (2) betting interests, the Quinella pool is distributed as if no dead heat occurred. (3-29-10)

**c.** Contestants representing three (3) or more betting interests, the Quinella pool is distributed as a profit split. (3-29-10)

**04. Dead Heat -- Second Place**. If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool is distributed as if no dead heat occurred. (3-29-10)

**05. Dead Heat -- Two or More Interests**. If there is a dead heat for second involving contestants representing two (2) or more betting interests, the Quinella pool is distributed to wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one (1) covered combination, then; (3-29-10)

**b.** As a single price pool to those combining the winner with the one (1) covered betting interest involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**d.** As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Quinella wagers for that contest. (3-29-10)

## 208. QUINELLA DOUBLE POOLS.

**01. Quinella Double Pools**. The Quinella Double requires selection of the first two (2) finishers, irrespective of order, in each of two (2) specified contests. (3-29-10)

**02. Distribution**. The net Quinella Double pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** If a coupled entry or mutuel field finishes as the first two (2) contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest

in the official order of finish for that contest, as well as the first two (2) finishers in the alternate Quinella Double contest, otherwise; (3-29-10)

**b.** As a single price pool to those who selected the first two (2) finishers in each of the two (2) Quinella Double contests; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those who selected the first two (2) finishers in either of the two (2) Quinella Double contests; but if there are no such wagers on one (1) of those contests, then; (3-29-10)

**d.** As a single price pool to those who selected the first two (2) finishers in the one (1) covered Quinella Double contest; but if there were no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Quinella Double wagers for those contests. (3-29-10)

**03. Dead Heat - First Place**. If there is a dead heat for first in either of the two (2) Quinella Double contests involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Quinella Double pool is distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest. (3-29-10)

**b.** Contestants representing two (2) betting interests, the Quinella Double pool is distributed as if no dead heat occurred. (3-29-10)

**c.** Contestants representing three (3) or more betting interests, the Quinella Double pool is distributed as a profit split. (3-29-10)

**04. Dead Heat -- Second Place**. If there is a dead heat for second in either of the Quinella Double contests involving contestants representing the same betting interest, the Quinella Double pool is distributed as if no dead heat occurred. (3-29-10)

**05. Dead Heat -- Second Place Two or More Interests**. If there is a dead heat for second in either of the Quinella Double contests involving contestants representing two (2) or more betting interests, the Quinella Double pool is distributed as a profit split. (3-29-10)

**06. Betting Interest Deducted -- First Half**. Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest will be deducted from the Quinella Double pool and refunded. (3-29-10)

**07. Betting Interest Deducted -- Second Half**. Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest will be deducted from the Quinella Double pool and refunded. (3-29-10)

**08. Consolation Payoff**. Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest will be allocated a consolation payout. In calculating the consolation payout the net Quinella Double pool is divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payout. Breakage is not declared in this calculation. The consolation payout is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payout. In the event of a dead heat involving separate betting interests, the net Quinella Double pool is distributed as a profit split. (3-29-10)

**09. Refunded Quinella**. If either of the Quinella Double contests is cancelled prior to the first Quinella

Double contest, or the first Quinella Double contest is declared "no contest," the entire Quinella Double pool must be refunded on Quinella Double wagers for those contests. (3-29-10)

**10. Second Double Contest Cancelled**. If the second Quinella Double contest is cancelled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool must be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool must be refunded on Quinella Double wagers for those contests. (3-29-10)

**209. EXACTA POOLS.**

**01. Exacta Pools**. The Exacta requires selection of the first two (2) finishers, in their exact order, for a single contest. (3-29-10)

**02. Distribution**. The net Exacta pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** If contestants of a coupled entry or mutuel field finish as the first two (2) finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish, otherwise; (3-29-10)

**b.** As a single price pool to those whose combination finished in correct sequence as the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one (1) of those two (2) finishers, then; (3-29-10)

**d.** As a single price pool to those whose combination included the one (1) covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Exacta wagers for that contest. (3-29-10)

**03. Dead Heat for First**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, the Exacta pool is distributed as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish. (3-29-10)

**b.** Contestants representing two (2) or more betting interests, the Exacta pool is distributed as a profit split. (3-29-10)

**04. Dead Heat for Second**. If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool is distributed as if no dead heat occurred. (3-29-10)

**05. Dead Heat for Second -- Two or More Betting Interests**. If there is a dead heat for second involving contestants representing two (2) or more betting interests, the Exacta pool is distributed to ticket holders in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a profit split to those combining the first-place betting interest with any of the betting interests involved in the dead heat for second; but if there is only one (1) covered combination, then; (3-29-10)

**b.** As a single price pool to those combining the first-place betting interest with the one (1) covered betting interest involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then; (3-29-10)

**d.** The entire pool must be refunded on Exacta wagers for that contest. (3-29-10)

**210. TRIFECTA POOLS.**

**01. Trifecta Pools**. The Trifecta requires selection of the first three (3) finishers, in their exact order, for a single contest. (3-29-10)

**02. Distribution**. The net Trifecta Pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**d.** The entire pool must be refunded on Trifecta wagers for that contest. (3-29-10)

**03. Less Than Three Interests Finish**. If less than three (3) betting interests finish and the contest is declared official, payoffs will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest will be ignored. (3-29-10)

**04. Dead Heat for First**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing three (3) or more betting interests, all of the wagering combinations selecting three (3) betting interests which correspond with any of the betting interests involved in the dead heat will share in a profit split. (3-29-10)

**b.** Contestants representing two (2) betting interests, both of the wagering combinations selecting the two (2) dead-heated betting interests, irrespective of order, along with the third-place betting interest will share in a profit split. (3-29-10)

**05. Dead Heat -- Second Place**. If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second will share a profit split. (3-29-10)

**06. Dead Heat -- Third Place**. If there is a dead heat for third, all wagering combinations correctly selecting the first two (2) finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third will share in a profit split. (3-29-10)

**07. Coupled Entries and Mutuel Fields**. Trifecta pools with hard entries may not be established for any race with fewer than eight (8) racing interests scheduled to start. For those licensees who hold race meets only during their county fair meets, a trifecta pool can be established for any race with a hard entry in which there are no fewer than six (6) racing interests scheduled to start. In all cases, entrees coupled as a single wagering interest will be permitted provided that such single wagering interest constitutes an individual wagering selection and a scratch of any horse that is a part of any entry or the field does not constitute a scratch of the single wagering interest. (3-29-10)

**211. SUPERFECTA POOLS.**

**01. Superfecta Pools**. The Superfecta requires selection of the first four (4) finishers, in their exact order, for a single contest. (3-29-10)

**02. Distribution**. The net Superfecta pool must be distributed to winning wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first four (4) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Superfecta wagers for that contest. (3-29-10)

**03. Less Than Four Finish**. If less that four (4) betting interests finish and the contest is declared official, payouts will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest will be ignored. (3-29-10)

**04. Dead Heat -- First Place**. If there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing four (4) or more betting interests, all of the wagering combinations selecting four (4) betting interests which correspond with any of the betting interests involved in the dead heat will share in a profit split. (3-29-10)

**b.** Contestants representing three (3) betting interests, all of the wagering combinations selecting the three (3) dead-heated betting interests, irrespective of order, along with the fourth-place betting interest will share in a profit split. (3-29-10)

**c.** Contestants representing two (2) betting interests, both of the wagering combinations selecting the two (2) dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests will share in a profit split. (3-29-10)

**05. Dead Heat -- Second Place**. If there is a dead heat for second involving: (3-29-10)

**a.** Contestants representing three (3) or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three (3) betting interests involved in the dead heat for second will share in a profit split. (3-29-10)

**b.** Contestants representing two (2) betting interests, all of the wagering combinations correctly selecting the winner, the two (2) dead-heated betting interests, irrespective of order, and the fourth-place betting interest will share in a profit split. (3-29-10)

**06. Dead Heat - Third Place**. If there is a dead heat for third, all wagering combinations correctly selecting the first two (2) finishers, in correct sequence, along with any two (2) of the betting interests involved in the dead heat for third will share in a profit split. (3-29-10)

**07. Dead Heat -- Fourth Place**. If there is a dead heat for fourth, all wagering combinations correctly selecting the first three (3) finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth will share in a profit split. (3-29-10)

**212. TWIN QUINELLA POOLS.**

**01. Twin Quinella Pools**. The Twin Quinella requires selection of the first two (2) finishers, irrespective of order, in each of two (2) designated contests. Each winning ticket for the first Twin Quinella contest

must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests will be included in only one (1) Twin Quinella pool. (3-29-10)

**02. Winning Procedure**. In the first Twin Quinella contest only, winning wagers must be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest: (3-29-10)

**a.** If a coupled entry or mutuel field finishes as the first two (2) finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish are winners, otherwise; (3-29-10)

**b.** Those whose combination finished as the first two (2) betting interests are winners; but if there are no such wagers, then; (3-29-10)

**c.** Those whose combination included either the first- or second-place finisher are winners; but if there are no such wagers on one (1) of those two (2) finishers, then; (3-29-10)

**d.** Those whose combination included the one (1) covered betting interest included within the first two (2) finishers are winners; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Twin Quinella wagers for that contest. (3-29-10)

**03. Dead Heat -- First Place**. In the first Twin Quinella contest only, if there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish are winners. (3-29-10)

**b.** Contestants representing two (2) betting interests, the winning Twin Quinella wagers are determined as if no dead heat occurred. (3-29-10)

**c.** Contestants representing three (3) or more betting interests, those whose combination included any two (2) of the betting interests finishing in the dead heat are winners. (3-29-10)

**04. Dead Heat -- Second Place**. In the first Twin Quinella contest only, if there is a dead heat for second involving contestants representing two (2) or more betting interests, the Twin Quinella pool will be distributed to wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second but if there is only one (1) covered combination, then; (3-29-10)

**b.** As a single price pool to those combining the winner with the one (1) covered betting interest involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**d.** As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead hear for second; but if there are no such wagers, then; (3-29-10)

**e.** The entire pool must be refunded on Twin Quinella wagers for that contest. (3-29-10)

**05. Distribution**. In the second Twin Quinella contest only, the entire net Twin Quinella pool must be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest: (3-29-10)

**a.** If a coupled entry or mutuel field finishes as the first two (2) finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish, otherwise; (3-29-10)

**b.** As a single price pool to those whose combination finished as the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one (1) of those two (2) finishers, then; (3-29-10)

**d.** As a single price pool to those whose combination included the one (1) covered betting interest included within the first two (2) finishers; but if there are no such wagers, then; (3-29-10)

**e.** As a single price pool to all the exchange ticket holders for that contest; but if there are no such tickets, then; (3-29-10)

**f.** In accordance with Subsection 212.02 of these rules. (3-29-10)

**06. Dead Heat -- First Place**. In the second Twin Quinella contest only, if there is a dead heat for first involving: (3-29-10)

**a.** Contestants representing the same betting interest, the net Twin Quinella pool will be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish. (3-29-10)

**b.** Contestants representing two (2) betting interests, the net Twin Quinella pool will be distributed as if no dead heat occurred. (3-29-10)

**c.** Contestants representing three (3) or more betting interests, the net Twin Quinella pool will be distributed as a profit split to those whose combination included any two (2) of the betting interests finishing in the dead heat. (3-29-10)

**07. Dead Heat -- Second Place**. In the second Twin Quinella contest only, if there is a dead heat for second involving contestants representing two (2) or more betting interests, the Twin Quinella pool will be distributed to wagers in the following precedence, based upon the official order of finish: (3-29-10)

**a.** As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one (1) covered combination, then; (3-29-10)

**b.** As a single price pool to those combining the winner with the one (1) covered betting interest involved in the dead heat for second; but if there are no such wagers, then; (3-29-10)

**c.** As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then;; (3-29-10)

**d.** As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second, then; (3-29-10)

**e.** As a single price pool to all the exchange ticket holders for that contest; but if there are no such tickets, then; (3-29-10)

**f.** In accordance with Subsection 212.02 of these rules. (3-29-10)

**08. Forfeiture of Rights**. If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest. (3-29-10)

**09. First-Half Scratch**. Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest must be refunded. (3-29-10)

**10. Second-Half Scratch**. Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch must be made and a reasonable amount of time be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Quinella contest, the ticket holder forfeits all rights to the Twin Quinella pool. (3-29-10)

**11. Contest Cancelled**. If either of the Twin Quinella contests is cancelled prior to the first Twin Quinella contest, or the first Twin Quinella contest is declared "no contest," the entire Twin Quinella pool must be refunded on Twin Quinella wagers for that contest. (3-29-10)

**12. Second-Half Cancelled**. If the second-half Twin Quinella contest is cancelled or declared "no contest" after the conclusion of the first Twin Quinella contest, the net Twin Quinella pool will be distributed as a single price pool to wagers selecting the winning combination in the first Twin Quinella contest and all valid exchange tickets. If there is no such wagers, the net Twin Quinella pool must be distributed as described in Subsection 212.02 of these rules. (3-29-10)

**213. TWIN TRIFECTA POOLS.**

**01. Twin Trifecta Pools**. The Twin Trifecta requires selection of the first three (3) finishers, in their exact order, in each of two (2) designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such ticket may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payoff. Both of the designated Twin Trifecta contests will be included in only one (1) Twin Trifecta pool. (3-29-10)

**02. Providing Pools**. After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool is then divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool. (3-29-10)

**03. Winning Precedence**. In the first Twin Trifecta contest only, winning wagers must be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first three (3) betting interests, but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests, but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination correctly selected the first-place betting interest only, but if there are no such wagers, then; (3-29-10)

**d.** The entire Twin Trifecta pool must be refunded on Twin Trifecta wagers for that contest and the second-half cancelled. (3-29-10)

**04. Carryover Pool**. If no first-half Twin Trifecta ticket selects the first three (3) finishers of that contest in exact order, winning ticket holders will not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool must be retained and added to any existing Twin Trifecta carryover pool. (3-29-10)

**05. Exchange of Tickets**. Winning tickets from the first-half of the Twin Trifecta will be exchanged for tickets selecting the first three (3) finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool must be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest: (3-29-10)

**a.** As a single price pool, including any existing carryover monies, to those whose combination finished in the correct sequence as the first three (3) betting interests; but if there are no such tickets, then; (3-29-10)

**b.** The entire second-half Twin Trifecta pool for that contest must be added to any existing carryover monies and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance. (3-29-10)

**06. Forfeiture of Rights**. If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool. (3-29-10)

**07. Coupled Entries and Mutuel Field**. Coupled entries and mutuel fields are prohibited in Twin Trifecta contests. (3-29-10)

**08. Scratched Interests**. Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest must be refunded. (3-29-10)

**09. Second-Half Betting Interest Scratch**. Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch must be made and a reasonable amount of time be provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Trifecta contest, the ticket holder forfeits all rights to the second-half Twin Trifecta pool. (3-29-10)

**10. Reduced Interests**. If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and the outstanding first-half winning tickets will be entitled to the second-half Twin Trifecta pool for that contest as a single price pool, but not the Twin Trifecta carryover. (3-29-10)

**11. Dead Heat**. If there is a dead heat or multiple dead heats in either the first or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, is a winner. In case of a dead heat occurring in: (3-29-10)

**a.** The first-half of the Twin Trifecta, the payoff is calculated as a profit split. (3-29-10)

**b.** The second-half of the Twin Trifecta, the payoff is calculated as a single price pool. (3-29-10)

**12. Cancelled Contest**. If either of the Twin Trifecta contests are cancelled prior to the first Twin Trifecta contest, or the first Twin Trifecta contest is declared "no contest," the entire Twin Trifecta pool must be refunded on Twin Trifecta wagers for that contest and the second-half cancelled. (3-29-10)

**13. Second-Half Cancelled**. If the second-half Twin Trifecta contest is cancelled or declared "no contest," all exchange tickets and outstanding first-half winning Twin Trifecta tickets will be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool must be distributed as described in Subsection 213.05 of these rules. (3-29-10)

**14. Capped Carryover**. The Twin Trifecta carryover may be capped at a designated level approved by the Racing Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, one hundred percent (100%) of the net Twin Trifecta pool for each individual contest must be distributed to winners of the first-half of the Twin Trifecta pool. (3-29-10)

**15. Request to Distribute Carryover**. A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Racing Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (3-29-10)

**16. Winning Precedence**. Should the Twin Trifecta carryover be designated for distribution on a specific date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in the correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to holders of valid exchange tickets. (3-29-10)

**e.** As a single price pool to holders of outstanding first-half winning tickets. (3-29-10)

**17. Exchange of Tickets**. Contrary to Subsection 213.04 of these rules, during a performance designated to distribute the Twin Trifecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first- and second-place betting interest. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive one hundred percent (100%) of that day's net Twin Trifecta pool and any existing Twin Trifecta carryover. (3-29-10)

**18. Carryover Designation**. The Twin Trifecta carryover must be designated for distribution on a specified date and performance only under the following circumstances: (3-29-10)

**a.** Upon written approval from the Racing Commission as provided in Subsection 213.15 of these rules. (3-29-10)

**b.** Upon written approval from the Racing Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued. (3-29-10)

**c.** On the closing performance of the meet or split meet (3-29-10)

**19. Carryover from Past Subsequent Meet**. If, for any reason, the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, the carryover must be deposited in an interest-bearing account approved by the Racing Commission. The Twin Trifecta carryover plus accrued interest will then be added to the second-half Twin Trifecta pool of the following meet on a date and performance so designated by the Racing Commission. (3-29-10)

**20. Prohibited Information**. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited until the race is made official. This does not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (3-29-10)

**21. Contest Approval**. The racing association must obtain written approval from the Racing Commission concerning the scheduling of Twin Trifecta contests, the percentage of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Racing Commission. (3-29-10)

**214. TRI-SUPERFECTA POOLS.**

**01. Tri-Superfecta Pools**. The Tri-Superfecta requires selection of the first three (3) finishers, in their exact order, in the first two (2) designated contests and the first four (4) finishers, in exact order, in the second of the two (2) designated contests. Each winning ticket for the first Tri-Superfecta contest must be exchanged for a free ticket on the second Tri-Superfecta contest in order to remain eligible for the second-half Tri-Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-Superfecta contest. Winning first-half Tri-Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Tri-Superfecta contests will be included in only one (1) Tri-Superfecta pool. (3-29-10)

**02. Providing Pools**. After wagering closes for the first-half of the Tri-Superfecta and commissions have been deducted from the pool, the net pool will then be divided into two (2) separate pools: the first-half Tri-Superfecta pool and the second-half Tri-Superfecta pool. (3-29-10)

**03. Winning Precedence**. In the first Tri-Superfecta contest only, winning tickets must be determined using the following precedence, based upon the official order of finish for the first Tri-Superfecta contest: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**d.** The entire Tri-Superfecta pool must be refunded on Tri-Superfecta wagers for that contest and the second-half cancelled. (3-29-10)

**04. Carryover Pool**. If no first-half Tri-Superfecta ticket selects the first three (3) finishers of that contest in exact order, winning ticket holders will not receive any exchange tickets for the second-half Tri-Superfecta pool. In such case, the second-half Tri-Superfecta pool must be retained and added to any existing Tri-Superfecta carryover pool. (3-29-10)

**05. Exchange of Tickets**. Winning tickets from the first-half of the Tri-Superfecta will be exchanged for tickets selecting the first four (4) finishers of the second-half of the Tri-Superfecta. The second-half Tri-Superfecta pool must be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Tri-Superfecta contest: (3-29-10)

**a.** As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four (4) betting interests; but if there are no such tickets, then; (3-29-10)

**b.** The entire second-half Tri-Superfecta pool for that contest must be added to any existing carryover monies and retained for the corresponding second-half Tri-Superfecta pool of the next performance. (3-29-10)

**06. Forfeiture of Rights**. If a winning first-half Tri-Superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-Superfecta pool but forfeits all rights to any distribution of the second-half Tri-Superfecta pool. (3-29-10)

**07. Coupled Entries and Mutuel Field**. Coupled entries and mutuel fields are prohibited in Tri-Superfecta contests. (3-29-10)

**08. Scratched Interest**. Should a betting interest in the first-half of the Tri-Superfecta be scratched, those Tri-Superfecta tickets including the scratched betting interest must be refunded. (3-29-10)

**09. Second-Half Betting Interest Scratch**. Should a betting interest in the second-half of the Tri-Superfecta be scratched, an announcement concerning the scratch must be made and a reasonable amount of time

provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Tri-Superfecta contest, the ticket holder forfeits all rights to the second-half Tri-Superfecta pool. (3-29-10)

**10.** **Reduced Interests**. If, due to a late scratch, the number of betting interests in the second-half of the Tri-Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets will be entitled to the second-half Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. (3-29-10)

**11.** **Dead Heat**. If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-Superfecta, all Tri-Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, is a winner. In the case of a dead heat occurring in: (3-29-10)

**a.** The first-half of the Tri-Superfecta, the payoff is calculated as a profit split. (3-29-10)

**b.** The second-half of the Tri-Superfecta, the payoff is calculated as a single price pool. (3-29-10)

**12.** **Cancelled Contest**. If either of the Tri-Superfecta contests are cancelled prior to the first Tri-Superfecta contest, or the first Tri-Superfecta contest is declared "no contest," the entire Tri-Superfecta pool must be refunded on Tri-Superfecta wagers for that contest and the second-half cancelled. (3-29-10)

**13.** **Second-Half Cancelled**. If the second-half Tri-Superfecta contest is cancelled or declared "no contest," all exchange tickets and outstanding first-half winning Tri-Superfecta tickets will be entitled to the net Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. If there are no such tickets, the net Tri-Superfecta pool must be distributed as described in Subsection 214.03 of these rules. (3-29-10)

**14.** **Capped Carryover**. The Tri-Superfecta carryover may be capped at a designated level approved by the Racing Commission so that if, at the close of any performance, the amount in the Tri-Superfecta carryover equals or exceeds the designated cap, the Tri-Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Tri-Superfecta carryover is frozen, one hundred percent (100%) of the net Tri-Superfecta pool for each individual contest will be distributed to winners of the first-half of the Tri-Superfecta pool. (3-29-10)

**15.** **Request to Distribute Carryover**. A written request for permission to distribute the Tri-Superfecta carryover on a specific performance may be submitted to the Racing Commission. The request must contain justification for the distribution, an explanation of the benefits to be derived, and the intended date and performance for the distribution. (3-29-10)

**16.** **Winning Precedence**. Should the Tri-Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-Superfecta after completion of the first-half of the Tri-Superfecta: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first four (4) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those whose combination included, in correct sequence, the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**e.** As a single price pool to holders of valid exchange tickets. (3-29-10)

**f.** As a single price pool to holders of outstanding first-half winning tickets. (3-29-10)

**17. Exchange of Tickets**. Contrary to Subsection 214.04 these rules, during a performance designated to distribute the Tri-Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-Superfecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Tri-Superfecta, all first-half tickets will become winners and will receive one hundred percent (100%) of that day's net Tri-Superfecta pool and any existing Tri-Superfecta carryover as a single price pool. (3-29-10)

**18. Carryover Designation**. The Tri-Superfecta carryover may be designated for distribution on a specified date and performance only under the following circumstances: (3-29-10)

**a.** Upon written approval from the Racing Commission as provided in Subsection 214.15 of these rules. (3-29-10)

**b.** Upon written approval from the Racing Commission when there is a change in the carryover cap or when the Tri-Superfecta is discontinued. (3-29-10)

**c.** On the closing performance of the meet or split meet. (3-29-10)

**19. Carryover from Past Subsequent Meet**. If, for any reason, the Tri-Superfecta carryover must be held over to the corresponding Tri-Superfecta pool of a subsequent meet, the carryover must be deposited in an interest-bearing account approved by the Racing Commission. The Tri-Superfecta carryover plus accrued interest will then be added to the second-half Tri-Superfecta pool of the following meet on a date and performance so designated by the Racing Commission. (3-29-10)

**20. Prohibited Information**. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited until the race is made official. This does not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (3-29-10)

**21. Contest Approval**. The racing association must obtain written approval from the Racing Commission concerning the scheduling of Tri-Superfecta contest, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Tri-Superfecta format requires prior approval from the Racing Commission. (3-29-10)

## 215. TWIN SUPERFECTA POOLS.

**01. Twin Superfecta Pools**. The Twin Superfecta requires selection of the first four (4) finishers, in their exact order, in each of two (2) designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payoff. Both of the designated Twin Superfecta contests will be included in only one (1) Twin Superfecta pool. (3-29-10)

**02. Dividing Pools**. After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool must then be divided into two (2) separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool. (3-29-10)

**03. Winning Precedence**. In the first Twin Superfecta contest only, winning wagers must be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first four (4)

betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**e.** The entire Twin Superfecta pool must be refunded on Twin Superfecta wagers for that contest and the second-half cancelled. (3-29-10)

**04. Carryover Pool -- First Race**. If no first-half Twin Superfecta ticket selects the first four (4) finishers of that contest in exact order, winning ticket holders will not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool will be retained and added to any existing Twin Superfecta carryover pool. (3-29-10)

**05. Winning Distribution**. Winning tickets from the first-half of the Twin Superfecta will be exchanged for tickets selecting the first four (4) finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool must be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest: (3-29-10)

**a.** As a single price pool, including any existing carryover monies, to those whose combination finished in correct sequence as the first four (4) betting interests; but if there are no such tickets, then; (3-29-10)

**b.** The entire second-half Twin Trifecta pool for that contest must be added to any existing carryover monies and retained for the corresponding second-half Twin Superfecta pool of the next performance. (3-29-10)

**06. Forfeiture of Second-Half Rights**. If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool. (3-29-10)

**07. Prohibited Entries**. Coupled entries and mutuel fields are prohibited in Twin Superfecta contests. (3-29-10)

**08. Scratched First-Half Interest**. Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest must be refunded. (3-29-10)

**09. Scratched Second-Half Interest**. Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch must be made and a reasonable amount of time provided for exchange of tickets that include the scratched betting interest. If tickets have not been exchanged prior to the close of betting for the second Twin Superfecta contest, the ticket holder forfeits all rights to the second-half Twin Superfecta pool. (3-29-10)

**10. Late Scratch**. If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets will be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. (3-29-10)

**11. Dead Heat**. If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, is a winner. In the case of a dead heat occurring in: (3-29-10)

**a.** The first-half of the Twin Superfecta, the payoff is calculated as a profit split. (3-29-10)

**b.** The second-half of the Twin Superfecta, the payoff is calculated as a single price pool. (3-29-10)

**12. Canceled Contest**. If either of the Twin Superfecta contests are cancelled prior to the first Twin Superfecta contest, or the first Twin Superfecta contest is declared "no contest," the entire Twin Superfecta pool must be refunded on Twin Superfecta wagers for that contest and the second-half cancelled. (3-29-10)

**13. Canceled Second-Half Contest**. If the second-half Twin Superfecta contest is cancelled or declared "no contest," all exchange tickets and outstanding first-half winning Twin Superfecta tickets will be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool must be distributed as described in Subsection 215.03 of these rules. (3-29-10)

**14. Capped Carryover**. The Twin Superfecta carryover may be capped at a designated level approved by the Racing Commission so that if, at the close of any performance, the amount in the Twin Superfecta carryover equals or exceeds the designated cap, the Twin Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Twin Superfecta carryover is frozen, one hundred percent (100%) of the net Twin Superfecta pool for each individual contest will be distributed to winners of the first-half of the Twin Superfecta pool. (3-29-10)

**15. Request for Carryover**. A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Racing Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. (3-29-10)

**16. Winning Precedence**. Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta: (3-29-10)

**a.** As a single price pool to those whose combination finished in correct sequence as the first four (4) betting interests; but if there are no such wagers, then; (3-29-10)

**b.** As a single price pool to those whose combination included, in correct sequence, the first three (3) betting interests; but if there are no such wagers, then; (3-29-10)

**c.** As a single price pool to those whose combination included, in correct sequence, the first two (2) betting interests; but if there are no such wagers, then; (3-29-10)

**d.** As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then; (3-29-10)

**e.** As a single price pool to holders of valid exchange tickets. (3-29-10)

**f.** As a single price pool to holders of outstanding first-half winning tickets. (3-29-10)

**17. Exchange Ticket Distribution**. Contrary to Subsection 215.04 of these rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third-, and fourth-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first-, second-, and third-place betting interests. If there are no wagers correctly selecting the first-, second- and third-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets will be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive one hundred percent (100%) of that day's net Twin Superfecta pool and any existing Twin Superfecta carryover as a single price pool. (3-29-10)

**18. Carryover Distribution**. The Twin Superfecta carryover must be designated for distribution on a specified date and performance only under the following circumstances: (3-29-10)

**a.** Upon written approval from the Racing Commission as provided in Subsection 215.15 of these rules. (3-29-10)

**b.** Upon written approval from the Racing Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued. (3-29-10)

**c.** On the closing performance of the meet or split meet. (3-29-10)

**19. Carryover Held**. If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover must be deposited in an interest-bearing account approved by the Racing Commission. The Twin Superfecta carryover plus accrued interest will then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Racing Commission. (3-29-10)

**20. Prohibited Information**. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited until the race is made official. This does not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data. (3-29-10)

**21. Written Approval**. The racing association must obtain written approval from the Racing Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Racing Commission. (3-29-10)

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